



Privacy Notice to all Clients and Professional Relationships

We recognize and honor our obligation to keep information about you secure and confidential. ***It's important for you to know that we do not sell your information to anyone. We restrict access to nonpublic personal information about you to those representatives and employees who need to know that information to provide products or services to you.*** We also maintain physical, electronic, and procedural safeguards to guard your nonpublic personal information. This notice describes how we handle your financial and personal information we collect in doing our business.

First Street Wealth Advisors (FSWA) collects nonpublic personal information about you from applications and other forms that we receive from you and from oral and e-mail communications.

The information that First Street Wealth Advisors collects about you will not be disclosed if you notify us that you do not want the information used in any capacity other than the one in which you have dealt with our offices.

If you wish to opt out of such disclosure, please call our South Bay office number at 669-342-5198, or notify us of your wishes by Fax at 408-816-7225 or e-mail at Chris@FirstSWA.com.

By opting out, it will prevent the disclosure of your information regarding additional business pursuits. For example, if we have served you concerning life insurance needs, we would not be able to discuss other wealth management concerns with you without first getting your permission.

Should one of our firm partners terminate their relationship with the firm (FSWA), information that has been disclosed by one to the other may be retained by both of them if they have so agreed as a part of a transfer of such portion of the business as was previously handled by the agent.

We may also provide non-public personal information about you to nonaffiliated third parties as permitted by law. An example of this would be the sharing of your information with a financial custodian or insurance company in order to process your business.

Thank you for your Trust and Confidence in serving you.

First Street Wealth Advisors

John E. Lococo, Christopher J Lococo & Robert Brusati

Registered Representative/Investment Advisory Affiliate
Securities & Advisory Services offered through American Investors Company
Member FINRA/SIPC, Registered Investment Advisor

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